

UC835 U.S. PRO  
10/024020

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))*

Attorney Docket No.

13202.00355

*First Named Inventor or Application Identifier*

GIN LIU, ET AL.

Express Mail Label No.

## APPLICATION ELEMENTS

See M.P.E.P. chapter 600 concerning utility patent application contents.

**ADDRESS TO:**

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ **Fee Transmittal Form**  
(Submit an original, and a duplicate for fee processing)
2. ☐ **Applicant claims small entity status.**  
See 37 C.F.R. § 1.27.
3. ☒ **Specification** *Total Pages*
4. ☒ **Drawing(s) (35 U.S.C. §113)** *Total Sheets*
5. ☐ **Oath or Declaration** *Total Pages*
- a. ☐ **Newly executed (original or copy)**
- b. ☐ **Copy from a prior application (37 C.F.R. §1.63(d))**  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 C.F.R. §§1.63(d)(2) and 1.33(b).
6. ☒ **Application Data Sheet.** See 37 C.F.R. §1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
- a. ☐ Computer Readable Form (C.R.F.)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (M.P.E.P. § 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 60/279,924 (See Appl. Data Sheet)  
 Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

|   |                                   |
|---|-----------------------------------|
| X | Customer Number or Bar Code Label |
|---|-----------------------------------|

27160  
(Insert Customer No. or Attach bar code label here)

|    |                              |
|----|------------------------------|
| or | Correspondence address below |
|----|------------------------------|

NAME \_\_\_\_\_

## Address

City

State

Zip Code

Country

Telephone

Fax

+

| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE                          | (5) CALCULATIONS |
|--------|--|------------------|------------------|-----------------------------------|------------------|
|        | TOTAL CLAIMS<br>(37 C.F.R. §1.16(c))   | 30-20 =          | 10               | X \$ 18.00 =                      | \$ 180.00        |
|        | INDEPENDENT CLAIMS (37 C.F.R. §1.16(b))  | 8-3 =            | 5                | X \$ 84.00 =                      | \$ 420.00        |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d))                   |                  |                  | \$280.00 =                        | \$ 0.00          |
|        |  |                  |                  | BASIC FEE<br>(37 C.F.R. §1.16(a)) | \$ 740.00        |
|        | Total of above Calculations =  |                  |                  |                                   | \$ 1,340.00      |
|        | Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). |                  |                  |                                   |                  |
|        | TOTAL =  |                  |                  |                                   | \$ 1,340.00      |
|        |  |                  |                  |                                   |                  |

19. Small entity status

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☐ Please charge Deposit Account No. 50-1710 the amount of \$\_\_\_\_\_ to cover the filing fee. A duplicate copy of the paper is attached.

21. ☐ A check in the amount of \$\_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to charge the above fees or credit overpayments or charge any deficiencies to Deposit Account No. 50-1710:

- a. ☐ Fees required under 37 C.F.R. § 1.16.
- b. ☐ Fees required under 37 C.F.R. § 1.17.
- c. ☐ Fees required under 37 C.F.R. § 1.18.

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED |                                |
|---|--------------------------------|
| NAME  | Dawn C. Hayes, Reg. No. 44,751 |
| SIGNATURE   | <i>Dawn C. Hayes</i>           |
| DATE  | December 21, 2001              |